

1 **Heritability and genetic architecture of reproduction-related traits in a**  
2 **temperate oak species**

3 **Authors:** Thomas Caignard<sup>1</sup>, Sylvain Delzon<sup>1</sup>, Catherine Bodénès<sup>1</sup>, Benjamin Dencausse<sup>1</sup>, Antoine  
4 Kremer<sup>1</sup>

5

6 1. UMR BIOGECO 1202-INRA, University of Bordeaux, 33615, Pessac, France

7

8 **Corresponding author:** Thomas Caignard | [tcaignard@gmail.com](mailto:tcaignard@gmail.com) | +33 5 40 00 30 06

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10

11 **Abstract**

12 Reproduction, one of the main component of plant fitness, is highly variable in response to  
13 environmental cues, but little is known about the genetic determinism underlying reproduction-  
14 related traits in forest tree species. There is therefore an urgent need to characterize the genetic  
15 architecture of those traits if we are to predict the evolutionary trajectories of forest populations facing  
16 rapidly changing environment and mitigate their impacts. Using a full-sib family of pedunculate oak  
17 (*Quercus robur*), we investigated the within population variability of seed production and mean seed  
18 mass during four consecutive years. Reproductive traits were highly variable between trees and  
19 between years. The high narrow sense heritability and evolvability estimated underline the important  
20 genetic effect on the variability in seed production and mean seed mass. Despite a large variability  
21 over years, reproductive traits show significant genetic correlation between years. Furthermore, for  
22 the first time in forest tree species, quantitative trait loci (QTLs) associated with seed production and  
23 mean mass of a seed have been identified. While it is commonly assumed and observed that fitness-  
24 traits have low narrow sense heritabilities, our findings show that reproduction-related traits may  
25 undergo evolutionary changes under selective pressure and may be determinant for tree adaptation.

26 Keywords: Tree reproduction, Seed production, Fitness, Heritability, QTLs, *Quercus robur*

## 27 **1. Introduction**

28 Tree fitness defines the ability of an individual to survive, grow and reproduce in an environment.  
29 Numerous studies have investigated to which extent the phenotypic variation of growth and survival  
30 in response to environmental changes was genetically or environmentally driven in forest tree species  
31 but there is a lack of studies investigating reproduction (Kang et al., 2003; Santos del Blanco et al.,  
32 2010; Santos-del-Blanco et al., 2012). Yet, understanding the variability in tree reproduction is essential  
33 in order to assess the process of adaptation of forest tree species in response to environmental  
34 changes (Aitken et al., 2008; Anderson, 2016). Increased reproduction enhances fitness of trees  
35 through different processes. For example, higher seed production increases the probability of  
36 dispersion by animals (Howe and Smallwood, 1982; Schupp et al., 2010; Traveset et al., 2014) and thus  
37 enhances population growth and dynamics. Long-distance dispersion generally due to scatter-  
38 hoarding, i.e. the way animals buried their seeds for latter consumption, favors regeneration because  
39 (1) seed dispersed escape density-dependent competition under the mother tree and (2) because  
40 during years of abundant seed production, buried seeds will not all be consumed by predators (Vander  
41 Wall, 2010). Furthermore, the variability in seed size within a same species also plays a role as it is  
42 correlated with the germination rate (Gómez, 2004; Walters and Reich, 2000). Thus, because of the  
43 larger amount of reserves, bigger seed are more likely to survive to infestation from insects and  
44 seedlings' early development is favored (Bonal et al., 2007; Sousa et al., 2003).

45 Tree reproduction is highly variable in response to environmental changes. Previous studies have  
46 shown large variations in seed production, seed size or seed germination along environmental  
47 gradients and across years (in oaks Caignard et al., 2017; Koenig et al., 2009; in pine Lopez-Toledo et  
48 al., 2017; in black spruce Sirois, 2000). Part of this variation is driven by environmental changes such  
49 as temperature and precipitation. For example, seed production in European oak species increases  
50 with a rise in temperature during the period of pollination (Caignard et al., 2017; Schermer et al.  
51 submitted) and decreases with increasing drought occurring during late summer (Bogdziewicz et al.,  
52 2017; Pérez-Ramos et al., 2010; Sanchez-Humanes and Espelta, 2011). While numerous studies have

53 investigated the phenotypic variability of reproduction traits in response to environmental changes  
54 little is known about the genetic source of this variation.

55 Common garden experiments have been useful in documenting genetically based  
56 differentiation among and within populations but few have focused on the genetic variations of  
57 reproductive traits, most likely because their assessments can only be made on reproductively mature  
58 trees while other fitness related traits can be measured on juvenile traits. In comparison, the genetics  
59 of reproductive traits have been already explored for annual plants (Alonso-Blanco et al., 1999;  
60 Mitchell-Olds, 1996), plant crops (Doligez et al., 2002; Houel et al., 2015; Kadri et al., 2017) and fruit  
61 trees (Guitton et al., 2011; Sadok et al., 2013; Wu et al., 2014). For example, quantitative traits loci  
62 (QTLs) associated with seed size, seed number or size at first reproduction were detected for  
63 *Arabidopsis thaliana* (Alonso-Blanco et al., 1999) and *Vitis vinifera* (Doligez et al., 2002). In fruit trees,  
64 genetic investigations were oriented towards flowering and fruiting phenology (Dirlewanger et al.,  
65 2012; Romeu et al., 2014) and biennial fruit bearing, i.e. the yearly alternation of flowering and fruiting  
66 (Guitton et al., 2011; Sadok et al., 2013; Shalom et al., 2012). In forest trees, the phenological variation  
67 of the apical buds has been extensively investigated in recent years (Derory et al., 2010; Jermstad et  
68 al., 2001; Pelgas et al., 2011; Scotti-Saintagne et al., 2004) but very few studies have targeted the  
69 genetic variation of reproduction within population (Bilir et al., 2006; Sivacioglu et al., 2009;  
70 Tsubomura et al., 2012) and even fewer have attempted to detect QTLs underlying reproductive traits  
71 (see Ujino-Ihara et al., 2012 for male strobilus abundance). Finally, Pearse et al. (2016) strongly  
72 advocated for assessing heritability of masting related traits, while recalling that relevant data may  
73 already exist (El Kassaby and Barclay, 1992). As the selective response to environmental changes, and  
74 so the capacity of adaptation of tree population largely depend on the genetic variations and the  
75 genetic architecture underlying fitness related traits, it is necessary to investigate more reproduction.

76 In this study we assessed the phenotypic and genetic variation of reproductive traits and searched  
77 for their underlying quantitative trait loci (QTL) in a full-sib family of a broadly distributed temperate  
78 oak species (*Quercus robur L.*). Our main objectives were to (i) subdivide the total phenotypic variation

79 of reproductive traits into environmental and genetic components (ii) to dissect the genetic  
80 architecture of reproductive traits by detecting their underlying QTLs (iii) to examine the stability of  
81 QTLs expression over successive years.

## 82 **2. Material and Methods**

### 83 2.1. Experimental design

84 A full-sib family originating from a controlled cross of pedunculate oak (*Quercus robur*) was  
85 used. The male parent (A4) was selected in a park close to Arcachon in the South West of France  
86 (44°40'N, 1°11'W) and the female parent (3P) originated from the INRA forest research station of  
87 Pierroton (44°44'N, 0°46'W). The controlled cross was carried out in 1992 and provided 278 seedlings,  
88 which subsequently were vegetatively propagated. Rooted cuttings from 207 genotypes (with  
89 approximately ten clonal replicates per genotype) were planted in the field in 2000 at the INRA's  
90 experimental unit of Bourran (44°20'N, 0°24'W), located in the south west of France (Saintagne et al.,  
91 2004). Spacing of trees was 4m x 1.5m at the plantation. In 2012 a systematic thinning was carried out  
92 in the parcel, reducing the overall density by one half on average with a total amount of 1130  
93 individuals. On average, the annual precipitation was 650.1 mm and the average temperature was  
94 13.9°C from 2014 to 2017, i.e. during the four years of monitoring (Table 1).

95 Genetic linkage maps were established for both parents (3P and A4) using gene-based SNP  
96 (Bodénès et al., 2016). Using the JoinMap procedure, a subset of SNP markers evenly distributed along  
97 the 12 linkage groups (LG) was selected to reconstruct two new parental linkage maps for QTL analysis.  
98 In total, the male and female linkage maps used for QTL detection contain 341 and 345 markers,  
99 respectively.

### 100 *Monitoring reproduction*

101 During spring 2014, when the trees were 16 years old since they were vegetatively propagated  
102 from the ortet (and 23 since seed production), we set up nets to collect acorns at 1 m above the ground  
103 under the whole canopy of each tree (Figure S1). The nets were stretched at the individual scale every

104 two rows within the design and the trees which were very close to one another within a given row (i.e  
105 with no trees cut between them after thinning) were not sampled for recording reproduction, as their  
106 canopies were intermingled (Figure S1). In total seed crop was assessed on 173, 331, 339 and 337  
107 individuals (clonal replicates) in 2014, 2015, 2016 and 2017 corresponding from 117 to 170 different  
108 genotypes over the four years (Table 1). In what follows the full sib genotypes will be called clones.  
109 Trees were planted within a randomized incomplete block design comprising 8 blocks with  $47 \pm 6$   
110 individuals per block. The number of clonal replicates per clone per block was one and the overall mean  
111 number of replicates used for this study varied between 1.48 and 1.99 (Table 1). To minimize predation  
112 and facilitate the acorns sorting, trees were harvested twice during mid-October and mid-November  
113 by collecting all the material (litter and acorns) deposited in the nets. Acorns were sorted from the rest  
114 of the litter in the laboratory and dried at room temperature.

115 Then, the total amount of seed produced  $N_{tot}$ , the total mass of seeds produced  $M_{tot}$  in g and the mean  
116 mass of one seed  $M_a$  in g were assessed. Along the last three years of measurement (2015, 2016 and  
117 2017) we also estimated the coefficient of variation of each individual  $CV_i$  and the mean coefficient of  
118 synchrony  $r_i$  using the coefficient of Pearson (Buonaccorsi et al., 2003). As the number of trees assessed  
119 in 2014 was much lower due to technical constraints related to the stretching of the nets, data of 2014  
120 were not used in this analysis. In addition, diameter at breast height (D in mm) and height (H in m) of  
121 each tree were measured in 2014 and the ratio between reproduction and growth ( $N_{tot}/D$ ) were  
122 calculated for each year in order to normalize the total seed production relative to the size of the tree.

## 123 2.2. Statistical analysis

124 We used a univariate linear mixed effect model to assess the genetic parameter of reproductive and  
125 growth traits for the four years of measurement:

$$126 Y_{ijk} = \mu + b_i + C_j + \varepsilon_{ijk} \quad [1]$$

127 where  $Y_{ijk}$  denotes the observed phenotypic value of clone replicates  $k$  of clone  $j$  in block  $i$ ,  $\mu$  the overall  
128 mean,  $b_i$  the fixed effect associated with block  $i$ ,  $C_j$  the random effect associated with clone  $j$  (genetic

129 effect) and  $\varepsilon_{ijk}$  the residuals. In addition to assess the multi-annual variability we used an additional  
130 univariate linear mixed model for each trait:

$$131 \quad Y_{ijkl} = \mu + b_{ij} + t_l + C_j + \varepsilon_{ijkl} \quad [2]$$

132 where  $Y_{ijkl}$  is the observed phenotypic value of clone  $j$  in block  $i$  and year  $l$  and  $t_l$  the fixed effect  
133 associated to year  $l$ . For both models, the reproductive traits  $M_{tot}$ ,  $N_{tot}$  and the ratio  $N_{tot}/D$  were log  
134 transformed. Best linear unbiased predictions (BLUPs) of random effects were estimated for each trait  
135 and within each year from the two linear mixed effect models. Year-Year correlations were estimated  
136 for phenotypic and BLUP values of  $\log(N_{tot} + 1)$ ,  $\log(M_{tot} + 1)$ ,  $\log(N_{tot}/D + 1)$  and  $M_a$  using Pearson  
137 coefficient, thus leading to values close to phenotypic and genotypic correlations. In addition, the  
138 differences between years for the same traits were tested using an analysis of variance (ANOVA).  
139 Finally, genetic correlations were also estimated between reproductive and growth traits, using the  
140 BLUPs estimated from the multi-annual model for reproductive traits and the BLUPs estimated in 2014  
141 for growth traits.

142 Variances of random clone effects were used to estimate the genetic parameters of each trait.  
143 Following Scotti-Saintagne et al. (2004) we assume that the environmental effect was absorbed by the  
144 variance among replicates (cuttings) of a same genotype (clone). Considering that the cuttings were  
145 full sibs, the clonal variance estimated ( $\sigma_c^2$ ) was equal to the within full-sib family ( $\sigma_w^2$ ), where:

$$146 \quad \sigma_c^2 = \frac{1}{2}V_A + \frac{3}{4}V_D \quad [3]$$

147 Here,  $V_A$  is the additive genetic variance and  $V_D$  is the dominance variance. To estimate the narrow  
148 sense heritability ( $h^2$ ) of each trait, which represents the proportion of phenotypic variance that can  
149 be attributed to  $V_A$ , we considered two cases encompassing the likely range of the dominance variance  
150 ( $V_D = 0$  and  $V_D = \frac{1}{2}V_A$ ), as  $V_D$  is generally lower than  $V_A$  in forest trees (Cornelius 1994). Thus we  
151 estimated  $h^2$  using:

$$152 \quad (i) h_0^2 = \frac{2\sigma_c^2}{2\sigma_c^2 + \sigma_\varepsilon^2} \text{ and } (ii) h_{1/2}^2 = \frac{\frac{8}{7}\sigma_c^2}{\frac{12}{7}\sigma_c^2 + \sigma_\varepsilon^2} \quad [4]$$

153 Confidence intervals of narrow sense heritability values were estimated using the method of Visscher  
154 and Godard (2014) applied to a single full sib family, assuming that the true values of heritability and  
155 phenotypic variance corresponded to the estimated values.

156

157 In addition, we also estimated the repeatability, expressing the proportion of the phenotypic  
158 variance of clonal means due to to the clone effect, using:

$$159 \quad R = \frac{\sigma_c^2}{\sigma_c^2 + \frac{\sigma_\varepsilon^2}{n_0}} \quad [4]$$

160 where  $(\sigma_\varepsilon^2)$  is the environmental here residual variance and  $n_0$  is the number of cuttings per clone.

161 Finally, we also estimated the evolvability (Hansen et al., 2011) for the two cases previously described  
162 for the estimation of  $h^2$ , using:

$$163 \quad (i) e_0 = \frac{2\sigma_c^2}{m^2} \text{ and } (ii) e_{1/2} = \frac{8}{7} \frac{\sigma_c^2}{m^2} \quad [6]$$

164 where  $m$  is the mean of the trait. For  $N_{tot}$ ,  $M_{tot}$  and  $N_{tot}/D$ , we estimated the evolvabilities of the non-  
165 transformed data since as reported by Hansen et al. (2011), the additive variance of the log-  
166 transformed data is approximately equal to the evolvability of the non-transformed scale. Evolvability  
167 is a mean standardized measure of the additive variation, in comparison to heritability which is a  
168 variance standardized measure of additive variation. It was shown earlier (Hansen et la., 2011) ) that  
169 the latter might respond more to the environmental variance (which is on the denominator of  
170 heritability) than to the additive variance (which is on the numerator). To reduce the noise created by  
171 the environmental variance on heritability, Hansen et al. (2011) recommended to estimate evolvability  
172 as well.

173 The analyses were performed in R Studio version 1.0.153 (R core team 2014). All the linear mixed effect  
174 models were fitted by the restricted maximum likelihood (REML) method in the lme4 R package (Bates  
175 et al., 2014; Bolker et al., 2009).



176

### 177 2.3. QTL detection

178 Quantitative trait locus (QTL) mapping was performed using Haley-Knott regression (Haley and Knott,  
179 1992) in R/qtl package (Broman et al., 2003) with 1cM step using the BLUP values. QTLs were selected  
180 using a stepwise model selection approach (Manichaikul et al., 2009) based on a significant threshold  
181 at 5% error rate made from 1000 permutations. The 95 % confidence interval was calculated for each  
182 selected QTL using Bayesian methods (Manichaikul et al., 2006). The effect of each QTL and the  
183 percentage of phenotypic variance explained (PEV) were also estimated. In addition, we also used a  
184 two QTL model when the results of one QTL model suggested the likely presence of a second QTL on  
185 the same linkage group, LG<sub>3</sub> (Figure S3). The *scantwo* function on R/QTL was used in this case (Figure  
186 S4).

## 187 3. Results

### 188 3.1. Phenotypic and genetic variation of reproductive traits

189 Large phenotypic variation was observed for seed production and size over the four years (Figure 1).  
190 Mean annual number of acorns per tree ( $N_{tot}$ ) varied between 27.8 and 226.6 seeds while  $N_{tot}/D$  varied  
191 between 0.54 and 2.34 seeds per mm and  $M_{tot}$  between 16.1 and 385.9 gramme. Similar results can  
192 be observed when comparing seed production of a given tree over years (Inter-annual coefficient of  
193 variation for  $N_{tot}$  amounts to  $1.05 \pm 0.29$ , for the four years and  $1.07 \pm 0.35$  for the last three years).  
194 On average the production of seeds was higher in 2014 and 2017 (Figure 1a, b and d) and the mean  
195 mass of one seed was higher in 2014 (Figure 1c) with an average mass of 2.5 g. Furthermore, within a  
196 given year we observed a large inter-individual variability for all reproductive traits (Figure 1). The large  
197 differences between marginal and conditional coefficient of determination ( $R^2_m$  and  $R^2_c$ ) observed for  
198 most of the annual and multi-annual traits (trait over the four years of monitoring, see model [2]),  
199 show that the clone random effect of the linear mixed effect model explains most of the variability  
200 predicted by model 1 for one year traits and by model 2 for multi-annual traits (Table 2). Clonal  
201 repeatability varied between 0.22 for  $M_a$  to 0.70 for  $N_{tot}$  and  $N_{tot}/D$ , suggesting that the between clonal

202 variance was almost as large as the within clonal variance. One can notice that the difference between  
203  $R^2_m$  and  $R^2_c$ , estimated from the multi-annual model of the mean mass of one acorn ( $M_a$ ), is lower than  
204 for the other traits ( $R^2_m = 0.05$  and  $R^2_c = 0.1$ ). This discrepancy is most likely due to the large differences  
205 of mean acorn mass between years already pointed out. The narrow sense heritabilities ( $h^2_o$  and  $h^2_{1/2}$ )  
206 estimated for each year were moderate and similar over time for every reproductive trait monitored  
207 (Table 2). For each year, the highest heritabilities were observed for  $N_{tot}$  and  $N_{tot}/D$  and the lowest  
208 heritabilities were estimated for  $M_a$ , in most cases the heritabilities estimated for growth traits (D and  
209 H) were lower than for reproductive traits. In comparison, very high evolvabilities were observed for  
210  $N_{tot}$  and  $M_{tot}$ , ranging from 0.37 to 1.24 but there were more moderate for  $N_{tot}/D$ . The evolvabilities  
211 estimated were much lower for  $M_a$  (ranging from 0.0008 and 0.04), H, D nevertheless there are still  
212 relatively high compared to the other estimated values in the literature except for H (Hansen et al.,  
213 2011). Heritability values of the masting related traits (coefficient of variation  $CV_i$  and coefficient of  
214 synchrony  $r_i$ ) were of the same magnitude than values of the reproduction related traits (Table 2)

### 215 3.2. Phenotypic and genetic correlations between traits over time

216 Phenotypic and genotypic correlations between traits over years were positive. For all six pairwise  
217 year-year combinations the phenotypic and genotypic correlations were significant for each trait. The  
218 coefficients of Pearson (Figure 2 and 3) were, in most cases, higher for the estimated BLUP values than  
219 for the phenotypic values with one exception for  $M_a$  (2015-2017), for which the phenotypic correlation  
220 ( $r = 0.33$ ) was higher than the estimated genotypic value ( $r = 0.31$ ). In average, the year-year genotypic  
221 and phenotypic correlations were much lower for  $M_a$  than for the three other traits. In addition, the  
222 highest  $r$  values for the genotypic and phenotypic correlations were observed between 2014 and 2017  
223 for  $\log(N_{tot}+1)$ ,  $\log(M_{tot}+1)$  and  $\log(N_{tot}/D + 1)$ , while for  $M_a$ , the highest phenotypic correlation was  
224 observed between 2014 and 2016 and the highest genotypic correlation between 2016 and 2017. The  
225 genotypic correlations of reproductive traits with growth traits (H and D) were positive for  $M_{tot}$ ,  $N_{tot}$   
226 and nearer 0 for  $M_a$  and  $N_{tot}/D$  (Figure S2).

### 227 3.3. QTL detection (Table 2)

228 Annual and multi-annual models were used to detect the QTLs of reproductive traits. We detected  
229 significant QTLs for all traits related to reproduction and growth but not for  $CV_i$  neither for  $r_i$ . For  $M_a$   
230 we detected significant QTLs on both maps (Male and Female), 1 QTL on the female linkage group 1  
231 ( $LG_{1F}$ ) for 2016, 2017 and for the multi-annual model, and 2 QTLs on  $LG_{7M}$  (male linkage group 7) for  
232 2016 on  $LG_{11M}$  for the multi-annual model (Table 3, Figure S5). The QTLs detected on  $LG_{1F}$  were, for  
233 the three cases, located at 26 cM and the percentage of phenotypic explained variance (PEV) was of  
234 the same amount over the years: 9.80 % in 2016, 8.08 % in 2017 and 12.81 % over the four years, but  
235 the confidence intervals in 2016 and 2017 were much larger. For traits related to seed production ( $M_{tot}$ ,  
236  $N_{tot}$  and  $N_{tot}/D$ ) we detected significant QTLs at the same location on  $LG_{3F}$  in 2015, 2016, and 2017 and  
237 across the three years with the multi-annual model. The positions were very similar through time and  
238 between traits. The highest PEVs on this linkage group were observed for  $N_{tot}$ , with 10.94% in 2015,  
239 15.01% in 2016, 17.51 % in 2017 and 16.47 % for across the four years. In addition, on  $LG_{11M}$ , we also  
240 detected highly significant QTLs for the same traits than previously in 2014, 2016, and 2017 and across  
241 all years. The highest PEVs observed were for  $N_{tot}/D$  with respectively 15.38%, 17.54%, 12.32% and  
242 14.11% in 2014, 2016, and 2017 and across all years. Another QTL was detected on the male map for  
243 traits related to seed production: for  $N_{tot}$  in 2014 and  $N_{tot}/D$  in 2014 on  $LG_{5M}$ . For both cases the  
244 position estimated on the linkage group was 65.03 cM and the PEV amounted to 10.11 % and 13.84 %  
245 respectively. Finally we also detected a significant QTL for  $N_{tot}/D$  in 2015 on  $LG_{12F}$  but the PEV  
246 estimated was relatively low (7.69 %) compared to the other QTLs.

247

#### 248 4. Discussion

249 Reproduction in forest tree species is highly variable between and within populations (Haymes and  
250 Fox, 2012; Kang et al., 2003; Pérez-Ramos et al., 2014). Although few studies have shown and  
251 quantified the genetic contribution to the between population variation of reproductive traits (Santos-  
252 del-Blanco et al., 2012), our study is one of the first to investigate the genetic variation within a single  
253 population. Using a full-sib family of *Quercus robur*, this study highlights a large variability of

254 reproductive traits at the within population level, and underlines the important contribution of genetic  
255 effects. Furthermore, we detected for the first time in forest tree species quantitative trait loci (QTLs)  
256 associated with seed production and the mean mass of a seed. Despite a large phenotypic variability  
257 over years, the genetic contribution to reproduction was highly correlated between years.

#### 258 4.1. Large genetic variation of reproductive traits

259 The repeated assessment of reproduction related traits over four years resulted in estimates  
260 of large phenotypic variability for  $N_{tot}$ ,  $M_{tot}$  and  $N_{tot}/D$  and to a lesser extent seed size ( $M_a$ ), as well as  
261 for masting related traits ( $CV_i$  and  $r_i$ ) In addition, the estimated heritability and evolvability values  
262 suggested significant and substantial genetic contributions to the phenotypic variability. It is tempting  
263 to compare our values of heritability and evolvability to other reported values in trees; however the  
264 scarce published tree studies reported broad sense heritabilities ( $H^2$ ), which are larger than narrow  
265 sense heritabilities. Nevertheless, our results suggest slightly larger genetic (additive) variance of  
266 reproductive traits in oaks than in other species. For example Sivacioglu et al. (2009) reported  $H^2$  values  
267 ranging from 0.18 to 0.38 for cone production in *Pinus sylvestris* while  $H^2$  was found lower, around  
268 0.15 in *Pinus pinea* (Mutke et al., 2005). These values are similar to the  $H^2$  estimated for female and  
269 male inflorescences in pines and spruces (Bilir et al., 2006; Nikkanen and Ruotsalainen, 2000; Sivacioglu  
270 et al., 2009). For example  $H^2$  values estimated for female and male flowering were around 0.38, in  
271 *Picea abies* (Nikkanen and Ruotsalainen, 2000) and 0.12 in *Pinus sylvestris* (Bilir et al., 2006). Compared  
272 to other traits commonly assessed in forest trees and especially in oak, narrow sense heritability values  
273 ( $h^2$ ) assessed here on reproduction traits are slightly lower than for phenological traits (Baliuckas and  
274 Pliura, 2004; Alberto et al., 2011; Firmat et al., 2017), about the same magnitude than wood density  
275 and wood anatomical related traits (Nepveu, 1982, 1984; Mather et al., 1993; Savill et al., 1993), and  
276 higher than growth traits (see our results, Jensen et al., 1997; Bogdan, et al. 2004,2017; Barzdajn,  
277 2008). Therefore, our results suggest that reproduction traits in trees may undergo evolutionary  
278 changes if they are targets of selection under ongoing environmental changes.

279 Over the four years of monitoring, seed production was highly variable and synchronized  
280 between trees. This phenomenon, also known as masting or mast-seeding, is characteristic of several  
281 forest tree species including oak species (Koenig et al., 1996, 1994). The coefficient of variation ( $CV_i$ )  
282 and the coefficient of synchrony ( $r_i$ ) estimated for each individual are common measures of the ability  
283 to express multi-annual variability of seed production and synchrony among trees, respectively  
284 (Buonaccorsi et al., 2003). The moderate heritability estimated for both  $CV_i$  ( $h^2_0 = 0.49$  and  $h^2_{1/2} = 0.30$ )  
285 and  $r_i$  ( $h^2_0 = 0.40$  and  $h^2_{1/2} = 0.24$ ) suggests that the variation of both measures, estimated from 2015  
286 to 2017, were partly due to genetic effects. While the period of monitoring was relatively short in our  
287 study, these results suggest that masting-related traits might be heritable. To our knowledge, no study  
288 has attempted so far to study the genetic determinism of masting while it is commonly assumed that  
289 it is an adaptive response to the selection pressure by predators (Kelly and Sork, 2002). Our results  
290 should however be considered as very preliminary, as long-term longitudinal monitoring would be  
291 necessary to estimate the genetic contribution of masting related traits.

292 Despite the large multi-annual variability and the significant sensitivity of reproduction to  
293 environmental changes, the genetic contribution was highly correlated over time. Thus, our results  
294 showed that most prolific trees were the same during the four years of monitoring, regardless of the  
295 overall level of seed crop within each year. For every trait assessed, heritabilities estimated using the  
296 multi-annual model was lower than the heritabilities estimated within single year. These differences  
297 may be explained by the increase of the residual variation over years due to the changes of biotic and  
298 abiotic conditions over time. Evidence for the inter-annual variation of the residual variance is also  
299 suggested by the changes of single year heritabilities over years. Similar trends were also observed in  
300 other species; for example broad sense heritability ( $H^2$ ) of female and male inflorescence production  
301 in *Picea abies* (Nikkanen and Ruotsalainen, 2000) and cone production in *Pinus sylvestris* (Kroon et al.,  
302 2009) changed substantially over years. Despite variation of the overall mean and variance of  
303 reproductive traits over years, phenotypic and genetic correlations between years were quite high

304 suggesting that monitoring of reproduction for genetic evaluation of clones can be limited to a very  
305 few numbers of years, if masting is not foreseen as an objective of the study.

306         While it is commonly assumed and observed that fitness-traits have low narrow sense  
307 heritabilities (Hoffmann et al., 2016; Price and Schluter, 1991), we obtained relatively high values for  
308 reproductive traits which are known to be main components of tree fitness. Merilä and Sheldon, (1999)  
309 have shown that contrary to what was admitted before, the low heritability of fitness traits was mostly  
310 explained by high residual variances including the environmental and dominance variance. Admittedly  
311 we assumed in our estimation of narrow sense heritability that dominance (on a broader scale non  
312 additive) variance was lower than additive variance in trees based on earlier reported values (White et  
313 al., 2007). Our assumption of low  $V_D$  may account for the discrepancy between observed values and  
314 predicted values based on evolutionary theory of fitness related traits. However, the underestimation  
315 of the residual variance would have a lesser impact on evolvability which is a standardization of the  
316 additive variance on the mean of the trait. And evolvability values still suggest that there is large  
317 additive variation existing in this oak clonal trial. Indeed, with a few exceptions our reported values of  
318 evolvability are in the upper half of all reported values of various species in the meta-analysis of Hansen  
319 et al. (2011). An alternative interpretation of the moderate values of genetic variation we found for  
320 reproductive traits is that four years assessments of reproduction at a still young stage of oak trees  
321 might not provide a relevant proxy of fitness. Hence the traits we measured might not have undergone  
322 so far sufficient selection pressures to the point to erode the genetic variation of the trait. Finally the  
323 evolutionary implications of our results are still questionable, as estimates of heritability in our study  
324 were done in an experimental design where micro-environmental variation is minimized. These  
325 estimates should be compared in the future with *in situ* estimates, which can now be obtained by  
326 retrieving realized genetic relatedness among trees using large numbers of genetic markers (Lesur et  
327 al., 2018; Vinkhuysen et al., 2013).

328 4.2. Genetic architecture

329 Our QTL detection was implemented in a trial prone to sampling biases known as the Beavis effect  
330 (Beavis 1998). Typically when the sample size (number of clones in our experiment) is less than 100,  
331 then the statistical power to detect QTLs of small effects are low, and their effects are inflated.  
332 Because of our limited sample size, our results should be interpreted with caution. We have likely only  
333 detected QTLs with major effects, and their effects may also have been overestimated. Thus the  
334 refined genetic architecture (number of QTLs and distribution of their effects) needs still further  
335 investigations based on the distribution of allelic effects as was done by Hall et al. 2016

336 Despite statistical limitations for exploring the genetic architecture, our results have important  
337 biological implications regarding potential genomic regions containing genes that contribute to the  
338 variation of reproduction traits. Indeed, for seed production, significant QTLs on LG<sub>3F</sub> and LG<sub>11M</sub> were  
339 repeatedly detected over years, explaining from 8.21 to 18.40 and 10.70 to 17.54 percent of the  
340 phenotypic variance, respectively. The inferred position on the linkage groups were almost identical  
341 between years. Although we found a significant QTL on LG<sub>11M</sub> for M<sub>a</sub> with the multi-annual model, the  
342 other QTLs identified were not co-localized with the QTLs identified for the seed production related  
343 traits, even with the QTLs detected for M<sub>tot</sub>. These genomic regions will be targets for association  
344 studies in natural populations to reduce their range within the genome and narrow down the search  
345 of candidate genes within the genome of *Quercus robur* that was recently sequenced (Plomion et al.,  
346 2016, 2018). As very few studies have attempted to dissect the genetic architecture of tree  
347 reproduction, it is difficult to compare our results with earlier reported studies. While Ujino-Ihara et  
348 al. (2012) undertook detection of QTLs associated with the production of male strobili in *Cryptomeria*  
349 *japonica*, to our knowledge, seed production and seed size have never been investigated in forest tree  
350 species. In fruit trees, numerous studies investigated the genetic determinism of biennial fruit bearing  
351 i.e. the irregular fruit production of a tree over consecutive years. Guitton et al. (2011) found that the  
352 QTLs associated with biennial bearing co-localized with genomic regions containing genes involved in  
353 floral development (floral integration gene, meristem identity gene and gibberellin oxidase gene).

354 These results illustrate how our results could further lead to the identification of candidate genes by  
355 refining genomic regions containing the 3 major QTLs that we detected.

356 Finally, it is worthwhile checking whether the QTLs of reproduction related traits may colocalize  
357 with QTLs of other important traits particularly growth and phenology that were investigated earlier  
358 on the same QTL mapping pedigree (Scotti-Saintagne, 2004; Derory et al. 2010). While, the likely  
359 positive correlation between tree size and non-normalized seed production ( $N_{tot}$ ) may lead to detect  
360 similar QTLs for  $N_{tot}$  and growth traits, the cross comparison of our results with QTLs of growth resulted  
361 in only one notable co-localization.  $LG_5M$  for the total tree height in 2001 and for  $N_{tot}$  and  $N_{tot}/D$  in  
362 2014. Due to the low sample size in 2014 and the absence of repetition for the QTL on  $LG_5M$ , the co-  
363 localization of QTLs of seed production and growth on this region is weak. Apart from this region, no  
364 co-localization was observed between growth and reproduction. Potential co-localization may witness  
365 negative pleiotropic effects that may support the negative mechanistic trade-off between growth and  
366 reproduction that has often been reported in the literature (Camarero et al., 2010; Drobyshev et al.,  
367 2010; Han et al., 2008; Ishihara and Kikuzawa, 2009; Koenig and Knops, 1998; Monks and Kelly, 2006;  
368 Silvertown and Dodd, 1999). The lack of co-localization of QTLs in our study may thus be in line with  
369 the more recent hypothesis by Knops et al. (2007) that the negative correlation observed might not be  
370 causal, or that the trade-off may only be driven by environmental effects. Furthermore, the positive or  
371 non-genetic correlation observed between growth and reproductive traits (Figure S2), confirmed the  
372 likely absence of a trade-off between both. The higher correlations observed between  $N_{tot}$  and  
373  $N_{tot}/D$  with growth traits, suggest here the The cross comparison of our results with QTLs of phenology  
374 (bud burst) resulted in only one notable co-localization on one linkage group ( $LG_{11M}$ ), which would  
375 support genetic correlation with leaf phenology, seed production and seed size if the gene effects at  
376 the co-localized QTLs are strong.



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386 **Authors’ contributions**

387 T.C., A.K. conceived the idea for this work; T.C. and B.D. assembled the dataset; T.C and C.B. analyzed  
388 the data; T.C., A.K. wrote the manuscript and C.B. and S.D. revised the manuscript.

389 **Data Archiving Statement**

390 <http://mapedigree.pierroton.inra.fr/qmap/>

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615 Table 1: Description of the experimental design for reproductive and growth traits

	Reproduction				Growth
	2014	2015	2016	2017	2014
Total number of genotypes	117	170	170	170	206
Total number of individuals (clonal replicates)	173	331	339	337	1130
Mean number of clonal replicates per genotype	1.48	1.95	1.99	1.98	5.46
Maximum amount of clonal replicates per genotype	4	6	6	6	10
Yearly averaged temperature (°C)	14.2	14.0	13.6	13.7	14.2
Yearly sum of precipitation (mm)	792.5	511.5	707.5	589.0	792.5

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618 Table 2: Estimation of the marginal and conditional coefficient of determination ( $R^2m$  and  $R^2c$ ), the mean clone repeatability ( $R$ ), the narrow sense heritabilities ( $h^2_0$  and  
619  $h^2_{1/2}$ ) and the evolvabilities ( $e_0$  and  $e_{1/2}$ ) for each trait and each year monitored (from 2014 to 2017)). The interval of confidence of the narrow sense heritabilities were  
620 estimated at 95% using the method from Visscher and Goddard (2014).

Trait	Year	n0	R <sup>2</sup> m	R <sup>2</sup> c	R	h <sup>2</sup> <sub>0</sub>	h <sup>2</sup> <sub>1/2</sub>	e <sub>0</sub>	e <sub>1/2</sub>
N <sub>tot</sub>	2014	1.48	0.02	0.43	0.52	0.59 [0.23, 0.95]	0.37 [-0.03, 0.77]	1.02	0.58
N <sub>tot</sub>	2015	1.95	0.07	0.48	0.61	0.62 [0.32, 0.92]	0.39 [0.05, 0.72]	1.24	0.71
N <sub>tot</sub>	2016	2.00	0.04	0.33	0.46	0.46 [0.13, 0.78]	0.28 [-0.06, 0.62]	0.76	0.43
N <sub>tot</sub>	2017	1.98	0.02	0.54	0.69	0.69 [0.41, 0.97]	0.44 [0.11, 0.77]	1.03	0.58
N <sub>tot</sub>	Multi-Annual	2.00	0.13	0.35	0.70	0.40 [0.07, 0.73]	0.38 [0.04, 0.71]	0.89	0.51
M <sub>tot</sub>	2014	1.48	0.01	0.41	0.50	0.58 [0.21, 0.95]	0.36 [-0.04, 0.76]	1.16	0.66
M <sub>tot</sub>	2015	1.94	0.07	0.47	0.60	0.60 [0.30, 0.90]	0.38 [0.04, 0.71]	1.09	0.62
M <sub>tot</sub>	2016	2.00	0.07	0.32	0.43	0.43 [0.10, 0.76]	0.26 [-0.08, 0.60]	0.78	0.44
M <sub>tot</sub>	2017	1.98	0.04	0.54	0.69	0.69 [0.41, 0.97]	0.44 [0.11, 0.77]	1.16	0.67
M <sub>tot</sub>	Multi-Annual	2.00	0.13	0.23	0.49	0.22 [-0.11, 0.55]	0.13 [-0.15, 0.41]	0.65	0.37
M <sub>a</sub>	2014	1.48	0.01	0.31	0.39	0.46 [0.07, 0.85]	0.28 [-0.12, 0.68]	0.0211	0.0121
M <sub>a</sub>	2015	1.88	0.05	0.21	0.27	0.28 [-0.06, 0.62]	0.17 [-0.14, 0.48]	0.0402	0.0230
M <sub>a</sub>	2016	1.93	0.07	0.19	0.22	0.22 [-0.11, 0.55]	0.13 [-0.15, 0.41]	0.0210	0.0120
M <sub>a</sub>	2017	1.98	0.21	0.42	0.42	0.42 [0.09, 0.75]	0.26 [-0.08, 0.60]	0.0278	0.0159
M <sub>a</sub>	Multi-Annual	1.93	0.05	0.1	0.28	0.10 [-0.15, 0.35]	0.06 [-0.12, 0.24]	0.0014	0.0008
N <sub>tot</sub> /D	2014	1.48	0.02	0.43	0.52	0.59 [0.22, 0.96]	0.37 [-0.03, 0.78]	0.15	0.08
N <sub>tot</sub> /D	2015	1.95	0.04	0.5	0.64	0.65 [0.36, 0.94]	0.41 [0.07, 0.74]	0.05	0.03
N <sub>tot</sub> /D	2016	2.00	0.08	0.46	0.58	0.58 [0.28, 0.88]	0.36 [0.02, 0.70]	0.08	0.04
N <sub>tot</sub> /D	2017	1.98	0.02	0.54	0.70	0.70 [0.42, 0.98]	0.44 [0.11, 0.77]	0.34	0.19
N <sub>tot</sub> /D	Multi-Annual	2.00	0.16	0.36	0.68	0.38 [0.04, 0.71]	0.23 [-0.10, 0.56]	0.11	0.06
CV <sub>i</sub>	Multi-Annual	1.93	0.14	0.42	0.48	0.49 [0.17, 0.81]	0.30 [-0.04, 0.64]	0.0593	0.0339
r <sub>i</sub>	Multi-Annual	1.98	0.07	0.3	0.4	0.40 [0.07, 0.73]	0.24 [-0.10, 0.58]	0.0332	0.0190
D	2014	5.46	0	0.15	0.48	0.25 [-0.06, 0.56]	0.15 [-0.13, 0.43]	0.017	0.0098
H	2014	5.46	0	0.23	0.62	0.37 [0.06, 0.68]	0.22 [-0.09, 0.53]	7.76e <sup>-5</sup>	4.44e <sup>-5</sup>

621

622 *no*: mean number of clonal replicates per genotype; *Multi-annual*: trait value over the for years;  $h^2_0$  and  $h^2_{1/2}$ : narrow sense heritabilities assuming dominance variance = 0  
623 and dominance variance =  $\frac{1}{2}$  of the additive variance;  $e_0$  and  $e_{1/2}$ : evolvabilities assuming dominance variance = 0 and dominance variance =  $\frac{1}{2}$  additive variance

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625

626 Table 3: Significant QTLs detected for each trait and their related statistics.

Trait	Year	Parent	n	LG	Position	LOD	BCI	PEV	p.value
<i>M<sub>a</sub></i>	2016	Female	168	1	25	3.76	8.85 – 38.00	9.8	<0.001
	2016	Male	168	7	50.08	2.99	36.00 – 58.87	7.86	<0.001
	2017	Female	169	1	26.47	3.11	12.00 – 48.00	8.07	<0.001
	Multi-Annual	Female	170	1	26.00	5.06	20.81– 38.00	12.81	<0.001
	Multi-Annual	Male	170	11	34.29	3.24	25.49– 52.00	8.39	<0.001
<i>M<sub>tot</sub></i>	2014	Male	117	11	54.01	2.97	15.00 – 58.73	11.03	<0.001
	2015	Female	169	3	32.56	3.29	7 – 37.24	8.53	<0.001
	2016	Female	169	3	33.8	5.86	13.24 – 36.00	14.75	<0.001
	2016	Male	169	11	23.6	6.34	10.72 – 34.29	15.87	<0.001
	2017	Female	170	3	28.00	6.78	24.00 – 33.00	16.77	<0.001
	2017	Male	170	11	23.6	5.12	14.00 – 54.01	12.96	<0.001
	Multi-Annual	Female	170	3	31.00	5.85	12.93 – 34.44	14.65	<0.001
	Multi-Annual	Male	170	11	23.6	6.16	14.00 – 34.00	15.36	<0.001
<i>N<sub>tot</sub></i>	2014	Male	117	5	65.03	2.7	45.00 – 72.24	10.11	<0.001
	2014	Male	117	11	54.01	3.02	13 – 58.73	11.24	<0.001
	2015	Female	170	3	32.56	4.28	8.86 – 36.62	10.94	<0.001
	2016	Female	170	3	31	6	13.24 – 35.05	15.01	<0.001
	2016	Male	170	11	23	5.81	10.00 – 33.00	14.56	<0.001
	2017	Female	170	3	29.00	7.76	25.00 – 32.00	17.51	<0.001
	2017	Male	170	11	23.6	4.21	10.00 – 54.01	10.78	<0.001
	Multi-Annual	Female	170	3	31.63	6.64	13.24– 34.75	16.47	<0.001
Multi-Annual	Male	170	11	23.00	4.73	10.00 – 52.00	12.04	<0.001	
<i>N<sub>tot</sub>/D</i>	2014	Male	117	5	65.03	3.78	56.78 – 72.24	13.84	<0.001
	2014	Male	117	11	22	4.24	13.00 – 56.00	15.38	<0.001
	2015	Female	170	3	32.6	3.24	4.00 – 39.13	8.41	<0.001
	2015	Female	170	12	19	2.95	10.00 – 30.69	7.69	<0.001
	2016	Female	170	3	31	5.51	16.00 – 36.62	13.86	<0.001
	2016	Male	170	11	10.72	7.12	10.00 – 32.00	17.54	<0.001
	2017	Female	170	3	30.00	7.50	25.19 – 33.00	18.40	<0.001
	2017	Male	170	11	23.6	4.85	10.00 – 54.01	12.32	<0.001
	Multi-Annual	Female	170	2	30.98	3.23	16.58 – 41.00	8.10	<0.001
	Multi-Annual	Female	170	3	32.00	3.28	17.00 – 40.00	8.21	<0.001
Multi-Annual	Male	170	11	22.00	5.56	10.72 – 33.00	13.54	<0.001	

628 *Year*: year of monitoring; *Multi-annual*: trait value over the four years; *Parent*: Female or male genetic map; *n*: number of clonal replicates per genotype; *LG*: Linkage group;  
629 *Position*: Position of the QTL on LG in cM; *BCI*: Confidence interval of the position at 95% indicated in cM; *PEV*: Percentage of phenotypic explained variance by a QTL;  
630 p.value: significance level.

631

632 **Figures:**

633 Figure 1: Boxplots of the 4 phenotypic traits represented for each year. Seed production, total mass  
634 of seed produced (g) and seed production per tree diameter (seed.mm<sup>-1</sup>) were log transformed. The  
635 mean mass of one seed was assessed in grammes.

636

637 Figure 2: Correlation between years, estimated for the phenotypic values of each trait using the  
638 coefficient of Pearson. Phenotypic values were log transformed for seed production (a), total mass of  
639 seed produced (b) and seed production per tree diameter (d).

640

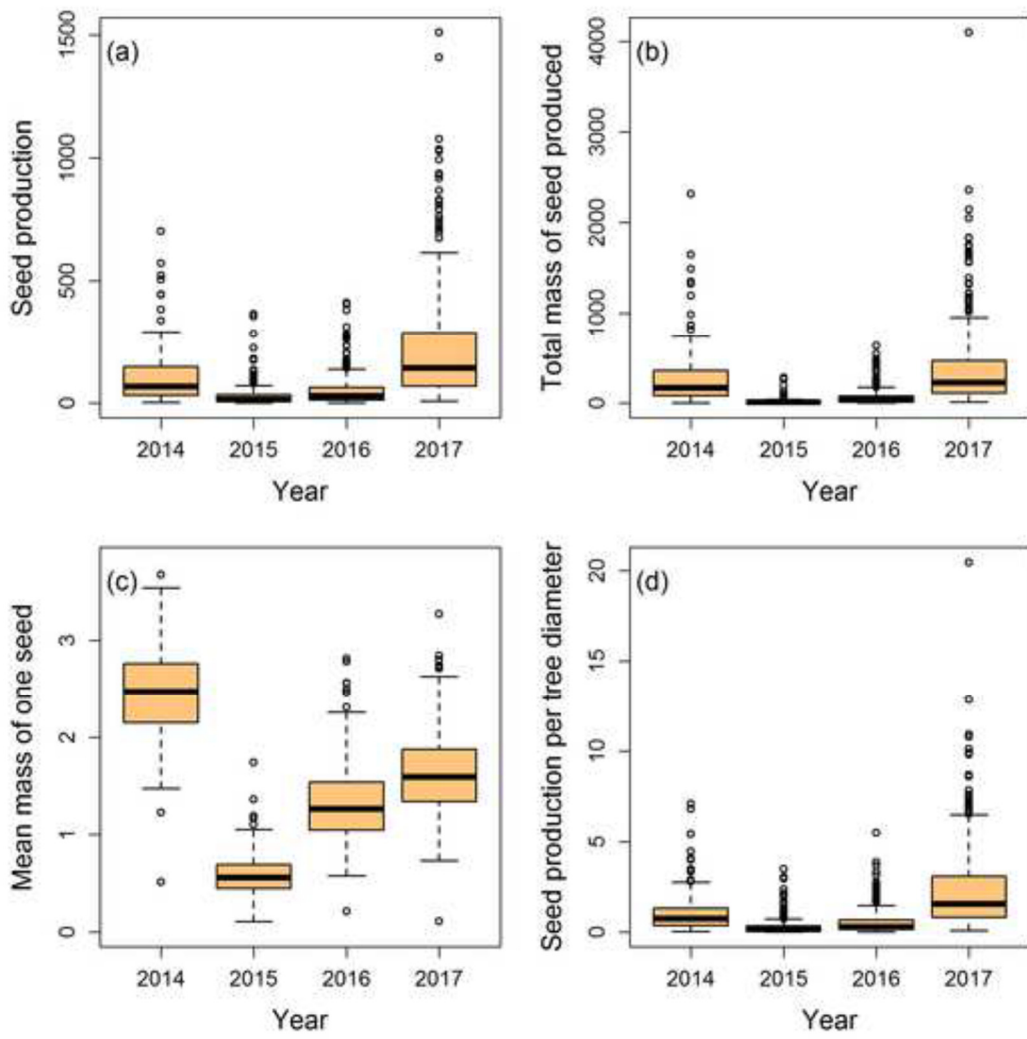
641 Figure 3: Correlation between years, estimated for the genetic values (BLUPs) of each trait using the  
642 coefficient of Pearson. Genetic values of seed production (a), total mass of seed produced (b) and seed  
643 production per tree diameter (d) were estimated on the log-transformed phenotypic values.

644



645 Figure 1

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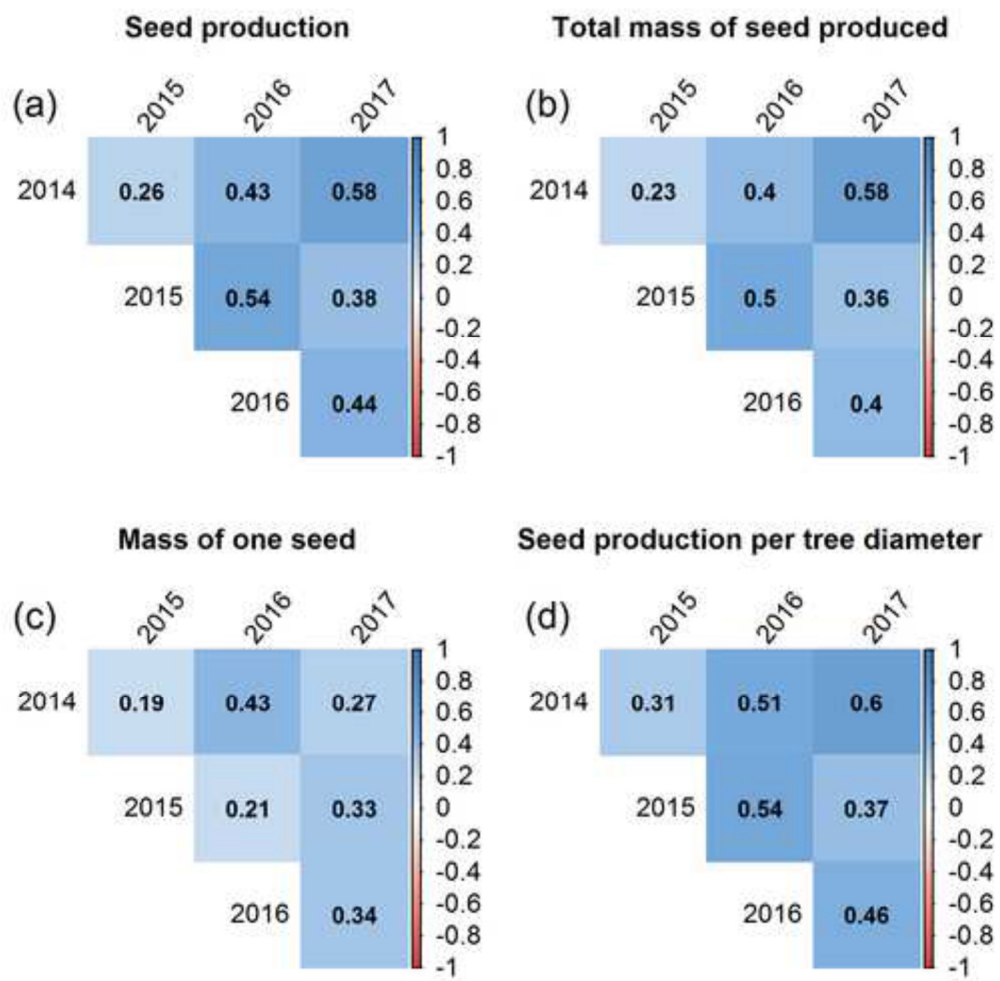
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650 Figure 2

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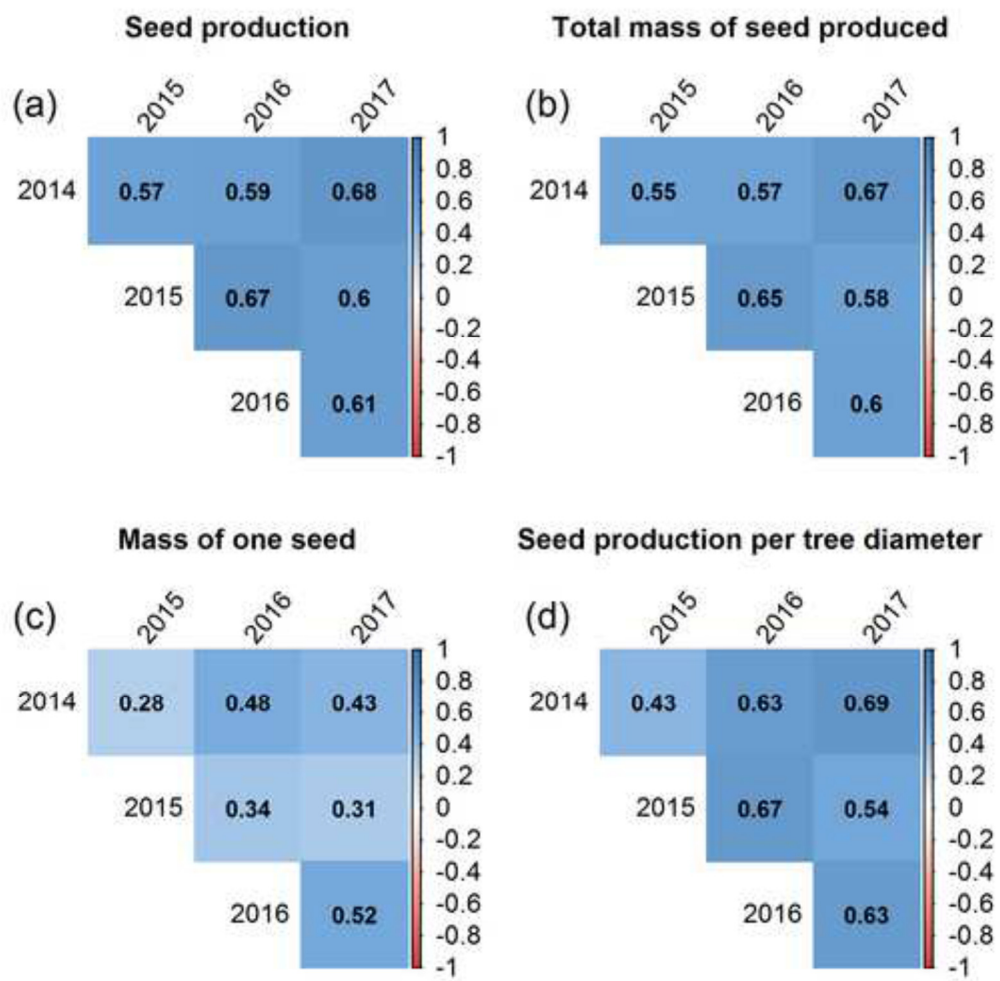


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654 Figure 3

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